

# 401k Averages Book

## 401(k) Fee Worksheet

| COMPANY INFORMATION                  | Your Plan Information                                         |              |                     |
|--------------------------------------|---------------------------------------------------------------|--------------|---------------------|
| Total Participants                   |                                                               |              |                     |
| Total Assets                         | \$ _____                                                      |              |                     |
| COMMUNICATION EXPENSES               |                                                               |              |                     |
| Enrollment (Materials)               | # of Participants _____                                       | x \$ _____   | \$ _____            |
| Ongoing (Materials)                  | # of Participants _____                                       | x \$ _____   | \$ _____            |
| Enrollment (Meetings)                | # of Meetings _____                                           | x \$ _____   | \$ _____            |
| Investment Advice                    |                                                               |              | \$ _____            |
| <b>Total Communication Expenses</b>  |                                                               |              | <b>\$ _____ (1)</b> |
| RECORDKEEPING & ADMIN EXPENSES       |                                                               |              |                     |
| Base Fee                             |                                                               |              | \$ _____            |
| Per Participant                      | # of Participants _____                                       | x \$ _____   | \$ _____            |
| Per Eligible Employees               | # of Eligible Employees _____                                 | x \$ _____   | \$ _____            |
| Distributions                        | Estimated #/yr _____                                          | x \$ _____   | \$ _____            |
| Loans Origination                    | Estimated #/yr _____                                          | x \$ _____   | \$ _____            |
| Loans Maintenance                    | Estimated # in force/yr _____                                 | x \$ _____   | \$ _____            |
| Semi-Annual Discrimination Testing   |                                                               |              | \$ _____            |
| 5500 Filing Package                  |                                                               |              | \$ _____            |
| Other Expenses                       |                                                               |              | \$ _____            |
| <b>Total RK &amp; Admin Expenses</b> |                                                               |              | <b>\$ _____ (2)</b> |
| INVESTMENT EXPENSES                  |                                                               |              |                     |
| Base Fee                             |                                                               |              | \$ _____            |
| Other Asset Fees (Wrap, Admin.)      | _____ %                                                       | x \$ _____   | \$ _____            |
|                                      | <i>Expense Ratio</i>                                          | x            | <i>Assets</i>       |
| Stable Asset/Money Market            | Stable Asset/Money Mkt _____                                  | % x \$ _____ | \$ _____            |
| U.S. Fixed Income                    | U.S. Fixed Income _____                                       | % x \$ _____ | \$ _____            |
| Balanced                             | Balanced _____                                                | % x \$ _____ | \$ _____            |
| Lifestyle                            | Lifestyle _____                                               | % x \$ _____ | \$ _____            |
| U.S. Equity Large Cap                | U.S. Equity Large Cap _____                                   | % x \$ _____ | \$ _____            |
| U.S. Equity Mid Cap                  | U.S. Equity Mid Cap _____                                     | % x \$ _____ | \$ _____            |
| U.S. Equity Small Cap                | U.S. Equity Small Cap _____                                   | % x \$ _____ | \$ _____            |
| International Equity                 | International Equity _____                                    | % x \$ _____ | \$ _____            |
| Miscellaneous                        | Miscellaneous _____                                           | % x \$ _____ | \$ _____            |
| <b>Total Investment Expenses</b>     |                                                               |              | <b>\$ _____ (3)</b> |
| TRUSTEE EXPENSES                     |                                                               |              |                     |
| Base Fee                             |                                                               |              | \$ _____            |
| Per Participant                      | # of Participants _____                                       | x \$ _____   | \$ _____            |
| Asset Charge                         | _____ %                                                       | x \$ _____   | \$ _____            |
| <b>Total Trustee Expenses</b>        |                                                               |              | <b>\$ _____ (4)</b> |
| GRAND TOTALS                         |                                                               |              |                     |
| Total Plan Expenses                  | (Add 1+2+3+4)                                                 |              | \$ _____            |
| Total Plan Fees Per Participant      | (Total Plan Expenses divided by Total Number of Participants) |              | \$ _____            |
| Total Plan Fees as a % of Assets     | (Total Plan Expenses divided by Total Plan Assets)            |              | _____ %             |